


























































Overview of CEIOPS' submissions to the European Commission 2004 – 2009

Date	Document title
10.11.2009	 CEIOPS Cover Letter on Solvency II final L2 advice to EC /  CEIOPS Feedback statement on the 1st and 2nd set of Solvency II final L2 advice to EC
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: cooperation and colleges of supervisors /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 62</i>)  Annex 2 - IA /  Annex 3
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Intra-group transactions and risk concentration /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 61</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Group solvency assessment /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 60</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Remuneration /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 59</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Supervisory reporting and disclosure /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 58</i>)  Annex - IA Supervisory Reporting /  Annex - IA Public Disclosure
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Capital add-ons /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 57</i>)  Annex 3 - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Tests and standards for internal model approval /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 56</i>)  Annex 1 - IA Use test /  Annex 2 - IA Data Quality and Expert Judgement
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: MCR Calculation /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 55</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - loss absorbing capacity of technical provisions /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 54</i>)  Annex - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Operational Risk /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 53</i>)

Date	Document title
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Reinsurance mitigation /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 52</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Health underwriting risk /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 50</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Life underwriting risk /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 49</i>)  Annex - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Non-life underwriting risk /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 48</i>)  Annex - IA Catastrophe risk /  Annex - IA Non-Life Geographical Diversification /  Annex - IA Non-Life Underwriting Risk
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Market risk /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 47</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Classification and eligibility of own funds /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 46</i>)  Annex - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Counterparty default adjustment /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 44</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Standard for data quality /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 43</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Risk Margin /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 42</i>)  Annex 1 - IA Risk Margin CoC /  Annex 2 - IA Risk Margin diversification
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Calculation of Technical Provisions as a whole /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 41</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Risk free interest rate /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 40</i>)  Annex - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Actuarial and statistical methodologies to calculate the best estimate /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 39</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Procedure to be followed for the approval of an internal model, including the addendum on group specificities /  CEIOPS' Resolutions on Comments Received (<i>former</i>

Date	Document title
	<i>Consultation Paper no. 37)</i>
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Special Purpose Vehicles /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 36</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Valuation of assets and "other liabilities" /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 35</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Transparency and accountability /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 34</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: System of Governance /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 33</i>)  Annex B - IA
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Assumptions about future management actions /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 32</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - allowance of risk mitigation techniques /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 31</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Technical Provisions - Treatment of future premiums /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 30</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Supervisory approval of ancillary own funds /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 29</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: SCR - Standard formula - Counterparty default risk /  CEIOPS' Resolutions on Comments Received on CP 28 and  CEIOPS' Resolutions on Comments Received on CP 51 (<i>former Consultation Paper no. 28 and Consultation Paper no. 51</i>)
10.11.2009	 CEIOPS' Advice for L2 Implementing Measures on SII: Segmentation for the calculations of technical provisions /  CEIOPS' Resolutions on Comments Received (<i>former Consultation Paper no. 27</i>)
30.10.2009	 Advice to the European Commission on the review of the Financial Conglomerates Directive
24.07.2009	 JCFC_Letter_to_the_EC_on_Annual_notification_of_Financial Conglomerates /  List of groups that have been identified as Conglomerates (June 2009)
15.07.2009	 3L3 Joint Contribution to the European Commission's Communication on European Financial Supervision
30.06.2009	 CEIOPS Letter to the EC on Insurance Guarantee Schemes /  CEIOPS Input to the EC work in Insurance Guarantee Schemes  Annex 1 Pension Sector /  Annex 2 Oxera Report

Date	Document title
23.06.2009	 CEIOPS Letter to the EC on further work on Solvency II
02.06.2009	 3L3 Report on Delegation of responsibilities / Key principles for the delegation of tasks between competent authorities
29.05.2009	 CEIOPS Report to the EC on EU Supervisory Powers, Objectives, Sanctioning Powers and Regime
23.04.2009	 3L3 Joint Contribution to the European Commission's consultation on the improvement of supervision for the financial services sector
26.02.2009	 CEIOPS reply to the EC consultation on ADR Schemes
18.02.2009	 CEIOPS Request for EC clarification on the notification procedure for insurance undertakings
18.12.2008	 Guidelines for the prudential assessment of acquisitions and increases in holdings in the financial sector required by Directive 2007/44/EC /  Feedback Table
09.12.2008	 Preliminary Findings on Supervisory Powers
19.11.2008	 Letter to the EC /  CEIOPS' Report on its Fourth Quantitative Impact Study (QIS4) for Solvency II /  CEIOPS' Report on its Fourth Quantitative Impact Study (QIS4) for Solvency II - Annex of Selected Tables
06.11.2008	 IWCF Letter to the EC on Annual notification of Fico's/  List of groups that have been identified as conglomerates (november 2008)
26.09.2008	 Letter to the EC - Supervisory Powers overview and first assessment of differences /  Summary Questionnaire Supervisory Powers /  Response by European Commission
01.09.2008	 3 Level 3 Committees' Joint Response to the European Commission's "Public Consultation Paper on Amendments to Commission Decisions establishing CESR, CEBS & CEIOPS"
07.07.2008	 CEIOPS' Reply to EC's Consultation Paper on Insurance Guarantee Schemes
30.05.2008	 Letter to the EC /  Key issues on solvency for the European occupational pension sector /  Letter from the Commissioner
28.05.2008	 Letter to the EC /  Advice to the European Commission on aspects of the Framework Directive Proposal related to Insurance Groups /  Analysis of Comments
28.05.2008	 Letter to the EC /  Advice to the European Commission on the Principle of Proportionality in the Solvency II Framework Directive Proposal /  Annex /  Analysis of Comments
07.04.2008	 Letter to the EC /  IWCF Recommendations on Capital for Financial Conglomerates
07.04.2008	 Survey on Fully Funded, Technical Provisions and Security Mechanisms in the European Occupational Pension Sector <i>Note: On 28 April 2008, Graph 7 was updated to reflect the same data source for the risk free rate for the UK.</i>
02.04.2008	 Initial Review of Key Aspects of the Implementation of the IORP Directive

Date	Document title
31.03.2008	 Letter to the EC /  CEIOPS Conclusions on Possible Amendments to the IMD/ Luxembourg Protocol
22.02.2008	 IWCFC DOC 08 01- CEBS 2008 04 _US Advice on Equivalence/  IWCFC DOC 0802 - CEBS 2008 05 Swiss Advice on Equivalence
31.01.2008	 QIS4 - Background Document on Calibration of SCR and MCR/  QIS4 - Background Document on Cost of Capital
24.01.2008	 List of groups that have been identified as conglomerates (November 2007)
18.01.2008	 CEIOPS Reply to the EC's Call for Evidence on "Substitute" Retail Investment Products
21.12.2007	 Draft QIS4 "Technical Specifications" /  QIS4 - Qualitative Questionnaire Operational Risk (both solo entities and groups)
27.11.2007	 Survey on the Size of Insurance Undertakings - Table 1/  Survey on the Size of Insurance Undertakings - Table 2
21.11.2007	 Letter to the EC /  QIS3 - Summary Report
05.11.2007	 Letter to the EC/  CEIOPS Proposals for Defining "Freedom of Services" under the IMD
30.08.2007	 Letter to the EC /  Report on the impact of the differences in sectoral rules on the calculation of own funds of financial conglomerates
13.08.2007	 Report on the Implementation of the Current Insurance Directives with regard to the Eligible Elements to meet the Solvency Margin
13.08.2007	 Summary of the Industry's Contribution on the Use of Innovative Instruments and Supplementary Members' Calls as Eligible Elements of Capital
30.07.2007	 Letter to the European Commission on Solvency II - further work
23.07.2007	 EU Commission Green Paper on Retail Financial Services in the Single Market - CEIOPS' Feedback
26.03.2007	 Advice on Pillar I Issues - Further Advice
20.03.2007	 Advice to the European Commission on Supervisory Reporting and Public Disclosure in the Framework of the Solvency II Project
20.03.2007	 Advice to the European Commission in the Framework of the Solvency II project on Pillar II issues relevant for Reinsurance
20.03.2007	 Advice to the European Commission in the Framework of the Solvency II project on Pillar II Capital add-ons for Solo and Group Undertakings
20.03.2007	 Advice to the European Commission in the Framework of the Solvency II project on Supervisory powers - further advice
20.03.2007	 Advice to the European Commission in the Framework of the Solvency II Project on Safety Measures (Limits on Assets)
19.03.2007	 Letter to the European Commission on Solvency II - QIS 3
19.03.2007	 CEIOPS' Report on the Implementation of the Insurance Mediation Directive's Key Issues

Date	Document title
	 Annex 1 - National implementation laws  Annex 2 - Categories of Insurance Intermediaries  Annex 3 - General Good Provisions  Annex 4 - Sanctions  Annex 5 - Complaints and Out-of-court settlement Procedures
12.02.2007	 Letter / Report on Impact of Solvency II on Supervisors
09.01.2007	 Comparison of the sectoral rules for the eligibility of capital instruments into regulatory capital
08.12.2006	 QIS2 - Summary Report
03.11.2006	 Advice to the European Commission in the framework of the Solvency II project on insurance undertakings' Internal Risk and Capital Assessment requirements, supervisors' evaluation procedures and harmonised supervisors' powers and tools
03.11.2006	 Advice to the European Commission in the framework of the Solvency II project on sub-group supervision, diversification effects, cooperation with third countries and issues related to the MCR and SCR in a group context
29.09.2006	 3L3 Letter on Cross-Border Consolidation
04.07.2006	 Sixth Progress Report on Solvency II Project
08.05.2006	 Advice on the Treatment of 'Deeply Subordinated Debt'
03.05.2006	 Answers to the Third Wave of Calls for Advice in the Framework of the Solvency II Project
03.05.2006	 Recommendation on Independence and Accountability
17.03.2006	 QIS1 Summary Report
03.03.2006	 Fifth Progress Report on the Solvency II Project
09.12.2005	 Fourth Progress Report on the Solvency II Project
01.11.2005	 Answers to the Second Wave of Calls for Advice in the Framework of the Solvency II Project
31.10.2005	 Recommendation on Possible Need for Amendments to the Insurance Groups Directive
15.09.2005	 Comments on European Commission's Green Paper on Financial Services Policy
30.06.2005	 Third Progress Report on the Solvency II Project
30.06.2005	 Answers to the First Wave of Calls for Advice in the Framework of the Solvency II Project
25.02.2005	 Second Progress Report on the Solvency II Project
03.11.2004	 First Progress Report on the Solvency II Project
08.09.2004	 FSAP Follow up - Letter to the EU Commission