

Thomas Etienne Schmitz-Lippert

Personal

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| Date of Birth | April 30, 1964 |
| Place of Birth | Cologne |
| Marital Status | Married |

Professional Experience

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| January 2016 - present | Executive Director International Policy, Financial Stability and Regulation (Banking, Insurance and Securities Supervision) Federal Financial Supervisory Authority (BaFin), Bonn and Frankfurt |
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| November 2005 - 2015 | Executive Director International Policy/Affairs (Banking, Insurance and Securities Supervision) Federal Financial Supervisory Authority (BaFin), Bonn and Frankfurt |
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Member/Participant of the following global and European committees and groups (in alphabetical order)

- Banking Supervision Committee (BSC), 2005 – 2010
- Basel Committee on Banking Supervision (BCBS), 2006 - 2008
- Committee of European Banking Supervisors (CEBS, Management Board member), 2006 - 2010
- Committee of European Insurance and Occupational Pensions Supervisors (CEIOPS), 2005 - 2010
- European Insurance and Occupational Pensions Authority (EIOPA), since 2011
- EIOPA Risk & Financial Stability Committee, since 2016
- Financial Stability Board Plenary (FSB), Alternate of BaFin President, since 2008
- FSB Implementation Monitoring Network (IMN), Chair since 2017
- FSB OTC Derivatives Working Group, 2011 - 2016
- FSB Standing Committee on Standards Implementation (SCSI), Alternate of BaFin President, since 2015
- FSB Standing Committee on Supervisory and Regulatory Cooperation (SRC), Alternate of BaFin President, 2008 – 2015

- International Association of Insurance Supervisors (IAIS), since 2005, Member of Executive Committee, 2010 -2014
- International Organization of Securities Commissions (IOSCO), 2005 - 2016
- Joint Committee (JC), 2011 - 2015
- Joint Committee on Financial Conglomerates (JCFC, Chair), 2010 - 2015
- Joint Forum (JF), 2005 - 2015, Chair from 2012 - 2015
- Legal Entity Identifier Regulatory Oversight Committee (LEI ROC), 2013 - 2016

January 2004 to October 2005

Head of Department, Policy and International Affairs, Banking Supervision

May 2002 to December 2003

Deputy Head of Department, Policy and International Affairs, Banking Supervision

February 1994 – April 2002

Federal Banking Supervisory Office (BAKred), Berlin and Bonn

July 2000 – April 2002

Deputy Head of Department, Policy and International Affairs, Banking Supervision

June 1998 – April 2002

Head of International Affairs, Banking Supervision

March 1998 – June 1998

Supervision of Complex Banking Groups (Department II, Deputy Head of Section II 2)

February 1994 - February 1998

Supervision of Commercial Banks in Hessa and Berlin (Department II, Deputy Head of Section II 2)

Education (Lawyer)

October 1993

Second State Examination by Legal Examination Office, Appellate Court Cologne

April 1990 - October 1993

Junior Lawyer (Referendarzeit) with Appellate Court Cologne specializing in Commercial Law and Corporate Law

August 1992 - December 1992

Legal Internship with L.D. Murray, Attorneys at Law, San Francisco, CA - working mainly on Jewish Restitution Claims

September 1989

First State Examination by Legal Examination Office, Appellate Court Düsseldorf

April 1985 - September 1989

Rheinische Friedrich-Wilhelm University
Bonn, Law School, specializing in
Commercial Law and Corporate Law

Summer 2008-2014

Visiting Professor of Law at the Rheinische
Friedrich-Wilhelm University Bonn
"European and International Financial
Market Supervision Law"