



EIOPA-11/112-Rev 2-Annex B  
EIOPA-13-480-Rev 1-Annex II

### **Declaration of interests**

You are required to sign this form in order to confirm that you have read and taken note of Article 11a of the Staff Regulations as applied by the Conditions of Employment of Other Servants, EIOPA's Ethics Rules and EIOPA's Procedure on Independence and Decision Making Processes regarding Declarations of interests for staff and other contractual parties.

Signing this declaration should prompt you to consider whether you may have a possible conflict of interests that could affect the performance of your duties at EIOPA. The act of signing the declaration should also remind you that when you do have a conflict of interests you should inform the Appointing Authority accordingly.

Article 11a of the Staff Regulations states the following:

*"1. An official shall not, in the performance of his duties and save as hereinafter provided, deal with a matter in which, directly or indirectly, he has any personal interest such as to impair his independence, and, in particular, family and financial interests.*

*2. Any official to whom it falls, in the performance of his duties, to deal with a matter referred to above shall immediately inform the Appointing Authority. The Appointing Authority shall take any appropriate measure, and may in particular relieve the official from responsibility in this matter.*

*3. An official may neither keep nor acquire, directly or indirectly, in undertakings which are subject to the authority of the institution to which he belongs or which have dealings with that institution, any interest of such kind or magnitude as might impair his independence in the performance of his duties."*

**I hereby declare that I have read Article 11a of the Staff Regulations, EIOPA's Ethics Rules and EIOPA's Policy on Independence and Decision Making Processes regarding Declarations of interests for staff and other contractual parties and am aware of my obligations.**

**I attach a list of my current financial interests and other sources of conflict of interests, where applicable.**

**Whenever I have a conflict of interests I will alert the Appointing Authority.**

<b>First Name:</b>	<b>Fausto</b>
<b>Last name:</b>	<b>Parente</b>
<b>Position in EIOPA:</b>	<b>Executive Director</b>

hereby declares the following:

**I. Employment<sup>1</sup>, consultancy<sup>2</sup>, legal representation or advice**

Within the past 5 years, were you employed or have you had any other professional relationship with a public or private entity with an interest in the field of activity of EIOPA?

<input checked="" type="checkbox"/> No: <input type="checkbox"/> Yes, and more in particular:			
Function/Activity	Time period (from...until month/year)	Name of public or private entity	Description

**II. Membership of a Managing Body, or equivalent structure**

Within the past 5 years, have you participated in the internal decision-making of a public or private entity with an interest in the field of activity of EIOPA (e.g. board membership, directorship)?

<input type="checkbox"/> No: <input checked="" type="checkbox"/> Yes, and more in particular:			
Function/Activity	Time period (from...until month/year)	Name of public or private entity	Description
<i>Alternate Member</i>	<i>2011-2016</i>	<i>EIOPA</i>	<i>Board of Supervisors</i>
<i>Member</i>	<i>2004-2016</i>	<i>European Systemic Risk Board (ESRB)</i>	<i>Advisory Technical Committee</i>
<i>Alternate Member</i>	<i>2012-2016</i>	<i>International Association of Insurance Supervisors (IAIS)</i>	<i>Technical Committee</i>
<i>Alternate Member</i>	<i>2011-2016</i>	<i>International Association of Insurance Supervisors (IAIS)</i>	<i>Financial Stability Committee</i>

<sup>1</sup> "Employment" refers to any form of regular occupation or business, part-time or full-time, paid or unpaid, including self-employment (e.g. consultancy), in any body with an interest in the field of activity of EIOPA. It includes employment by industry which refers to any form of employment by any legal or natural person carrying out any of the activities on which EIOPA's outputs impact directly or indirectly, or a trade association of such person.

<sup>2</sup> "Consultancy" refers to any ad hoc or occasional activity in which the concerned person provides advice or services to undertakings, trade associations or other bodies with an interest in the field of activity of EIOPA. This includes also services provided on an honorary basis (i.e. for free or without the payment of fees or emoluments) and any advice related to products, their development and/or assessment methods thereof.

### III. Other membership or affiliation

Within the past 5 years, have you had any membership or affiliation other than the above that can be perceived as creating a potential conflict of interest?

<input checked="" type="checkbox"/> No: <input type="checkbox"/> Yes, and more in particular:			
Function/Activity	Time period (from...until month/year)	Name of public or private entity	Description
*			

### IV. Economic interest

Do you have current economic interests including:

- (a) Any economic stake or share in a body, in particular in any financial institution, carrying out any of the activities falling under EIOPA's scope of action (as defined in Article 1(2) and 1(3) of the Regulation, including the stocks, equities or bonds thereof, or of one of its subsidiaries or of a company in which it has a holding (direct and indirect). [This does not include deposits or personal insurance policies contracted as a regular customer with the exception of saving life insurance products].
- (b) Direct holdings in saving life insurance products, including unit linked, which amount to a value above 10,000 EUR per institution are to be considered;
- (c) Direct holdings in credit institutions which amount to a value above 10,000 EUR per institution or comparable amounting to a voting right of 5% or more in a credit institution (notwithstanding their financial value) are to be considered; and/or
- (d) Indirect holdings which amount to a value above 10,000 EUR per holding are to be considered. This includes UCITs that are mainly (> 50%) invested in the financial sector?

<input type="checkbox"/> No: <input checked="" type="checkbox"/> Yes, and more in particular:	
Economic interest	Name of organisation or commercial entity
Saving life insurance products UCITs	UnipolSAI spa AComeA sgr

### V. Intellectual Property<sup>3</sup>

Do you have any intellectual property rights (e.g. patent, trademark or copyright) in the field of activity of EIOPA that might create a potential conflict of interest?

<input checked="" type="checkbox"/> No: <input type="checkbox"/> Yes, and more in particular:
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<sup>3</sup> "Intellectual Property" refers to rights on the subject matter granted to creators and owners of works that are the result of human intellectual creativity and may lead to a financial gain.

Intellectual Property	Name of organisation or commercial entity	Description

**VI. Interests held by close family members<sup>4</sup>**

*Does any of your close family members hold any current interests in the field of activity of EIOPA (as specified above in the sections I.-V.)?*

<input checked="" type="checkbox"/> No: <input type="checkbox"/> Yes, and more in particular:		
Function/Activity	Name of organisation or commercial entity	Description

**VII. Other relevant information**

*Are there any other elements that could be seen as jeopardising your independence when working for the Authority?*

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**Date:** 20. March 2018      **Signature:** [signed]

(\* If you need more sheets or rows to declare your interests, do not hesitate to use blank ones or add rows in the relevant table. Please sign each one of added sheets and attach them to this form.)

*Please send a completed and signed form to EIOPA's Ethics Officer: [ethicsofficer@eiopa.europa.eu](mailto:ethicsofficer@eiopa.europa.eu)*

*Please note that EIOPA's Ethics Officer will keep a record of this declaration.*

<sup>4</sup> For this purpose, "close family member" are considered to be partners (i.e. persons forming parts of the household) or persons dependent on the individual. For privacy reasons neither the relationship nor the name is to be included. Only current interests held by close family members are of relevance and not past interests.

