



Declaration of Intention

First Name	<i>Anna</i>
Surname	<i>Sweeney</i>
Authority/ MS	<i>Prudential Regulation Authority</i>
Current EIOPA involvement	<i>Alternate at BoS and a member of the SSC</i>

I hereby declare that I have read EIOPA's Policy on Independence and Decision Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff [EIOPA-MB-13-055-Rev1] and on Professional Secrecy and Confidentiality [EIOPA-MB-11/008] and am aware of my obligations.


I hereby agree and acknowledge as follows:

1. I am subject to EIOPA Management Board Decision on Professional Secrecy and Confidentiality (EIOPA-MB-11/008) of 10 January 2011.
2. "EIOPA Activities" includes (but is not limited to) activities related to my role and responsibilities at EIOPA, including any attendance at any meeting whether or not with attendees who are not staff of EIOPA; and the production or review of any documents.
3. "Confidential Information" means all information, facts, data and any other matters of which I acquire knowledge, either directly or indirectly, as a result of my EIOPA activities whether or not contained in a document of any kind (electronic or on paper or any other medium).
4. "Third party" means any legal or natural person other than EIOPA, its staff and the parties of the ESFS¹.
5. I will treat all Confidential Information as confidential.

¹ Defined in Article 2(2) of the EIOPA Regulation

6. I will not disclose (or permit any other person to disclose) in any way to any third party any Confidential Information without EIOPA's prior written consent.
7. I will not use (or permit any other person to use) any Confidential Information other than for the purposes of my work in connection with EIOPA activities.
8. This undertaking shall not apply to any information that I can reasonably prove was known to me before the date of this undertaking or which becomes public knowledge otherwise than as a result of a breach of any of the above undertakings.
9. I acknowledge that improper disclosure of Confidential Information may constitute serious misconduct and I may be subject to disciplinary measures and may be required to make good, in whole or in part, any damage suffered by the Union as a result of such disclosure.
10. I acknowledge that I shall continue to be bound by the terms of my declaration after the end of my involvement in EIOPA's activities, in line with Article 70(1) of the EIOPA Regulation.
11. I acknowledge that, where improper disclosure of information is a criminal offence, I may be prosecuted for such disclosure before a court with relevant jurisdiction, which may include the court of a Member State of the Union.

I have read and understood this undertaking, and agree to its terms.

Date: 8. 3. 18	Signature: 
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*Please send a signed copy of this form to EIOPA's Ethics Officer
ethicsofficer@eiopa.europa.eu*



Declaration of Interests

First Name	<i>Anna</i>
Surname	<i>Sweeney</i>
Authority/ MS	<i>Prudential Regulation Authority</i>
Current EIOPA involvement	<i>Alternate at BoS and member of the SSC</i>

- I declare that I have read the Decision of the Management Board on the Policy on Independence and Decision Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff [EIOPA-MB-13-055-Rev1] and that this declaration is truthful and complete.
- I do hereby declare on my honour that, to the best of my knowledge, the only direct or indirect interests¹ that create a Conflict of Interest² as defined in Article 1(2)(c) I have in respect of EIOPA's scope of action are those listed in the annex.
- Whenever I have a Conflict of Interest I will alert EIOPA.

Date: <i>8.3.18</i>	Signature: <i>A Sweeney</i>
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Please send a signed copy of this form to EIOPA's Ethics Officer ethicsofficer@eiopa.europa.eu

¹ As defined in Article 1.2, a) and b)

² As defined in Article 1.2. c) A CoI Conflict of Interest being means a conflict between the public duty of EIOPA and private interests of an individual or interests of his/her close family members, in which an individual has private-capacity interests which could improperly influence the performance of his/her official duties and responsibilities or could compromise his/her impartiality, objectivity or independence.

Annex

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role).

Nature of conflict	Period (from /till)	Organisation	Subject matter/ Reasons why my independence may be impaired
I. Economic Interest	1. 2.		
II. Membership	1. 2.		
III. Employment or Consultancy	1. 2.		
IV. Intellectual Property Rights	1. 2.		
V. Other	1. 2.		
VI. Interests of close family members	1. 2.	Mitsubishi UFJ Securities - husband is Compliance Director.	Disclosed for transparency. I don't believe it impairs independence as it is a different regulated sector.