



Are there General Good provisions in your country that fall into the categories below? (Yes / No / Leave blank)

UNITED KINGDOM

General good provisions by categories

| | For insurance undertakings | For insurance intermediaries |
|--|---|---|
| Special rules for starting and operating business | | |
| Notification & approval of certain products | No FCA provision | No FCA provision |
| Protection of names and titles | No FCA provision | No FCA provision |
| Feasibility study for host MS | No FCA provision | No FCA provision |
| Creating special departments | No FCA provision | No FCA provision |
| Other special rules for starting business | No FCA provision | No FCA provision |
| Other | | |
| Adapting to host country market structure | | |
| Joining an association | No FCA provision | No FCA provision |
| Joining a guarantee fund | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| Data submission for registers | See http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | See http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| Professional codes of conduct | No FCA Provision | No FCA Provision |
| Other | | |
| Content and format of contracts | | |
| Language rules | No FCA provision | No FCA provision |
| Minimum content for certain products | No FCA provision | No FCA provision |
| Mandatory level of excess | No FCA provision | No FCA provision |
| Other | | |
| Disclosure | | |
| Special pre-contractual information | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| Special information for selected products | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes – see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| Other | | |
| Taxation | | |
| Indirect taxes and parafiscal charges | Outside competence of | Outside competence of |
| Tax representative | | |

| | | | |
|---|--|---|---|
| | Other | UK FCA; see HM Revenue & Customs (www.hmrc.gov.uk) | UK FCA; see HM Revenue & Customs (www.hmrc.gov.uk) |
| Other conduct related provisions | | | |
| | Advice | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| | Advertising & marketing | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| | Commissions | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| | Limitations to the freedom to set premium | No FCA provision | No FCA provision |
| | After-sales services (e.g. claims-handling, complaints-handling) | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 | Yes - - see http://fshandbook.info/FS/html/FCA/SUP/13A/Annex1 |
| | Other | | |
| Special types of insurance | | | |
| | MPTL | No FCA provision | No FCA provision |
| | Travel insurance | No FCA provision | No FCA provision |
| | Other | | |
| Applicable law | | | |
| | Setting applicable law for certain risks | No FCA provision | No FCA provision |
| | Setting exclusive jurisdiction | No FCA provision | No FCA provision |
| | Other | | |

Disclaimer